

patent attorneys

June 15, 2004

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 15, 2004

*Shaylor Dunn*  
Shaylor E. Dunn  
Date of Signature 6/15/04

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: U.S. Patent Application Serial No. 10/645,778 for METHODS AND SYSTEMS FOR FACILITATING TRANSACTIONS BETWEEN COMMERCIAL BANKS AND POOLED DEPOSITOR GROUPS  
Our File No. 1483/3

Sir:

Please find enclosed in connection with the subject U.S. patent application the following documents:

1. A Preliminary Amendment (13 pages);
2. Amendment Transmittal (2 pages) in duplicate; and
3. A return-receipt postcard to be returned to us with the U.S. Patent and Trademark Office filing stamp thereon.

The Commissioner is hereby authorized to charge any fees associated with the filing of this correspondence to Deposit Account No. 50-0426.

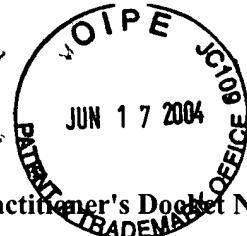
Respectfully submitted,

JENKINS, WILSON & TAYLOR, P.A.

*GAH*  
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Registration No. 41,085  
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Enclosures



Practitioner's Docket No. 1483/3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Whiting et al.

Application No.: 10/645,778

Filed: 08/21/2003

For: METHODS AND SYSTEMS FOR FACILITATING TRANSACTIONS BETWEEN COMMERCIAL BANKS AND POOLED INVESTOR GROUPS

Group No.: 3629

Examiner: Not Assigned

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is a small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

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CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

*(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a)

with sufficient postage as first class mail.

37 C.F.R. § 1.10\*

as "Express Mail Post Office to Addressee"  
Mailing Label No. \_\_\_\_\_ (mandatory)

TRANSMISSION

facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

  
Signature

Date: June 15, 2004

Shaylor E. Dunn

*(type or print name of person certifying)*

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

## FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY
<b>CLAIMS</b>			
REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDIT. FEE
<b>TOTAL</b>	50	47	27.00
INDEP.	3	3	0.00
<b>FIRST PRESENTATION OF MULTIPLE DEP. CLAIM</b>		<b>+</b>	<b>27.00</b>
		<b>TOTAL ADDIT. FEE</b>	<b>\$ 27.00</b>

Total additional fee for claims required \$27.00

## FEE PAYMENT

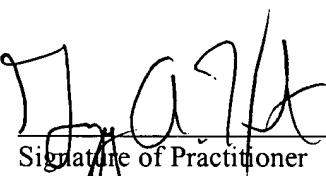
5. Authorization is hereby made to charge the amount of \$27.00 to Deposit Account No. 50-0426.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Date: June 15, 2004

Reg. No.: 41,085  
Tel. No.: 919-493-8000  
Customer No.: 25297



\_\_\_\_\_  
Signature of Practitioner

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Shaylor E. Dunn  
Date of Signature 6/15/04

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Whiting et al.

Group Art Unit: 3629

Serial No.: 10/645,778

Examiner: Not Assigned

Filed: August 21, 2003

Docket No. 1483/3

Confirmation No.: 8784

For: METHODS AND SYSTEMS FOR FACILITATING TRANSACTIONS BETWEEN COMMERCIAL BANKS AND POOLED DEPOSITOR GROUPS

\* \* \* \* \*

PRELIMINARY AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

AMENDMENTS

Please amend the subject U.S. Patent Application as indicated on the following sheets:

06/22/2004 HUONG1 00000078 500426 10645778

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27.00 DA